

QUANTUM DYNAMICAL ENTROPY OF UNITARY OPERATORS

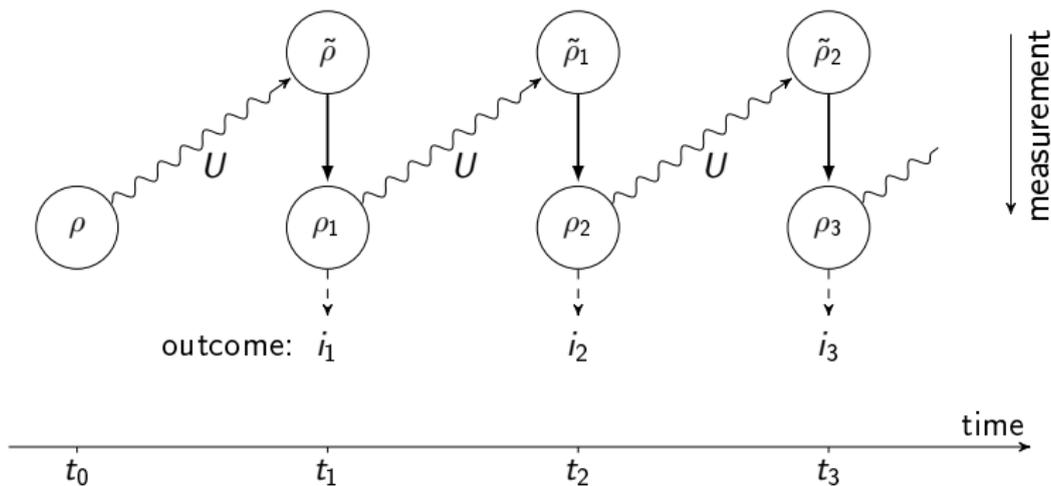
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KCIK on-line session
May 2021

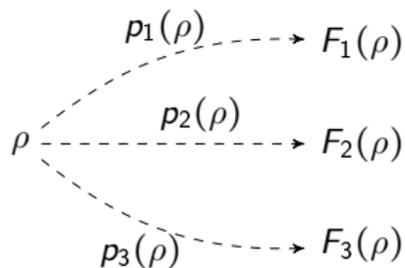
- 1 A d -dimensional quantum system subject to **successive measurements**.
- 2 Between each two measurements the system undergoes deterministic time evolution described by a **unitary** operator U .
- 3 There are k possible measurement outcomes.
- 4 We want to quantify the **irreducible randomness** of the sequences of outcomes.



For an input state ρ , a unitary U , and a POVM $\Pi = \{\Pi_1, \dots, \Pi_k\}$:

- the probability of obtaining the result i : $p_i(\rho)$
- the post-measurement state (if the outcome i has been obtained):
 $F_i(\rho)$

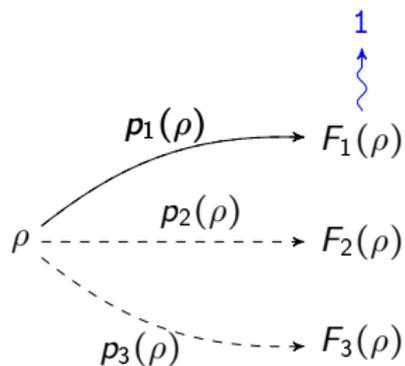
Partial Iterated Function System (PIFS) generated by U and Π .



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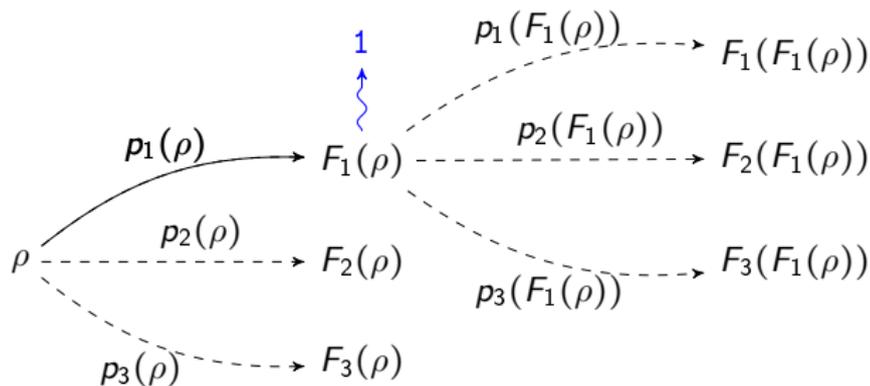
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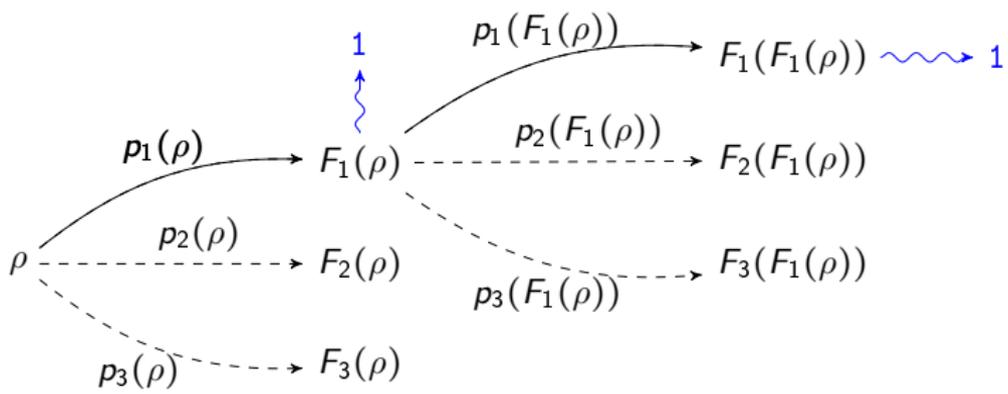
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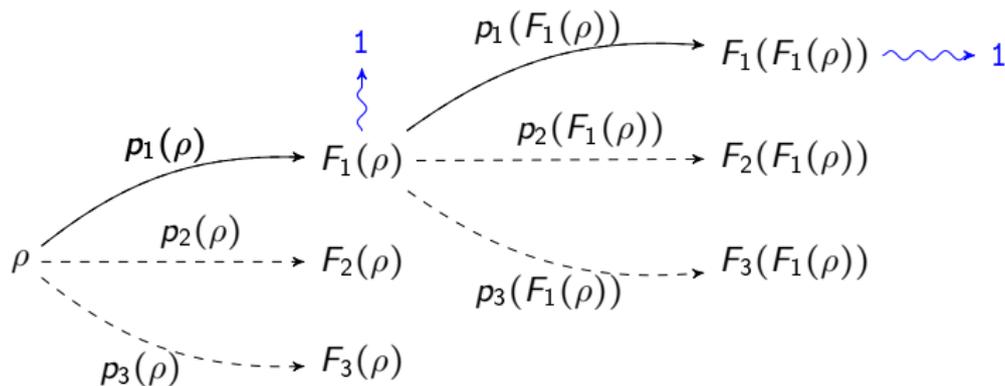


For an input state ρ , a unitary U , and a POVM $\Pi = \{\Pi_1, \dots, \Pi_k\}$:

- the probability of obtaining the result i : $p_i(\rho) = \text{tr}(\Pi_i U \rho U^*)$
- the post-measurement state (if the outcome i has been obtained):

$$F_i(\rho) = \frac{\sqrt{\Pi_i} U \rho U^* \sqrt{\Pi_i}}{\text{tr}(\Pi_i U \rho U^*)} \quad (\text{generalized L\"uders instrument})$$

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Partial Iterated Function System (PIFS) generated by U and Π .

⇒ We get a Markov chain on quantum states.

⇒ Process induced by $\mathcal{F}_{U,\Pi}$ on $\{1, \dots, k\}$ need not be Markovian.

⇒ Probability of outputting the string of outcomes (i_1, \dots, i_n) :

$$p_{i_1, \dots, i_n}(\rho) = p_{i_1}(\rho) p_{i_2}(F_{i_1}(\rho)) \cdots p_{i_n}(F_{i_{n-1}} \cdots F_{i_1}(\rho)).$$

⇒ Evolution of Dirac delta measures on quantum states:

$$\Psi: \delta_\rho \longmapsto \sum_{\substack{i=1, \dots, k \\ p_i(\rho) > 0}} p_i(\rho) \delta_{F_i(\rho)}.$$

n -th partial entropy:

$$H_n := \sum_{i_1, \dots, i_n=1}^k \eta(p_{i_1, \dots, i_n}(\rho_*)) \quad \text{where } \eta(x) := \begin{cases} -x \ln x & x > 0 \\ 0 & x = 0 \end{cases}$$

Quantum dynamical entropy of U with respect to Π :

$$H(U, \Pi) := \lim_{n \rightarrow \infty} \frac{H_n}{n} = \lim_{n \rightarrow \infty} (H_{n+1} - H_n)$$

Blackwell integral formula (1957):

$$H(U, \Pi) = \int_{S(\mathbb{C}^d)} H_1 d\mu_*$$

where μ_* is the $*$ -limit of $(\Psi^n(\delta_{\rho_*}) : n \in \mathbb{N})$.

Shannon (1948), Kolmogorov (1958);

Srinivas (1978), Pechukas (1982), Beck & Graudenz (1992) - for projective measurements; Słomczyński & Życzkowski (1994) - for generalized measurements;

Crutchfield & Wiesner (2008) - *entropy rate*

Let Π consist of k one-dim (rescaled) projections: $\Pi_i = \frac{d}{k} |\varphi_i\rangle \langle \varphi_i|$

- Probabilities in the first step: $p_i(\rho_*) = \frac{1}{k}$
- Post-measurement state: $F_i(\rho) = |\varphi_i\rangle \langle \varphi_i|$ for every ρ and i
- Probabilities in the subsequent steps: $p_j(F_i(\rho)) = \frac{d}{k} |\langle \varphi_j | U | \varphi_i \rangle|^2$

$$\Rightarrow \mu_* \text{ is uniform, } H(U, \Pi) = \frac{1}{k} \sum_{i,j=1}^k \eta \left(\frac{d}{k} |\langle \varphi_j | U | \varphi_i \rangle|^2 \right)$$

rank-1 POVMs

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- F_1, \dots, F_k are constant: *one symbol* \sim *one quantum state*.
- The process on $\{1, \dots, k\}$ is a Markov chain.

rank-1 PVMs

Π = projections on an orthonormal basis of \mathbb{C}^d

$$H(U, \Pi) = \frac{1}{d} \sum_{i,j=1}^d \eta \left(|\langle \varphi_i | U | \varphi_j \rangle|^2 \right)$$

Quantum dynamical entropy of U (independent of measurement):

$$H^{\text{dyn}}(U) := \max \{ H(U, \Pi) : \Pi \text{ is a rank-1 PVM} \}$$

$$= \max_{\substack{(\varphi_j)_{j=1}^d \\ \text{orthonormal} \\ \text{bases of } \mathbb{C}^d}} \frac{1}{d} \sum_{i,j=1}^d \eta \left(|\langle \varphi_i | U | \varphi_j \rangle|^2 \right)$$

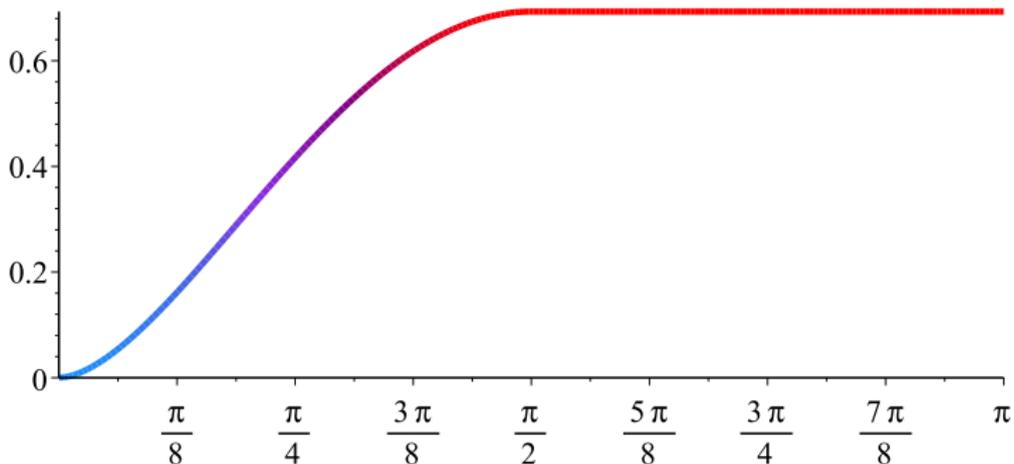
- $H^{\text{dyn}}(U)$ depends only on the eigenvalues of U ;
- $H^{\text{dyn}}(U) = H^{\text{dyn}}(e^{i\varphi} U)$ for $\varphi \in \mathbb{R}$;
- $0 \leq H^{\text{dyn}}(U) \leq \ln d$.

Qubits

$$U_\theta \sim \begin{bmatrix} e^{\frac{\theta}{2}i} & 0 \\ 0 & e^{-\frac{\theta}{2}i} \end{bmatrix}$$

$$H^{\text{dyn}}(U_\theta) = \begin{cases} \eta\left(\frac{1+\cos\theta}{2}\right) + \eta\left(\frac{1-\cos\theta}{2}\right) & \theta \leq \frac{\pi}{2} \\ \ln 2 & \theta \geq \frac{\pi}{2} \end{cases}$$

$\theta \in [0, \pi]$ is the smaller angle
between the eigenvalues of U_θ



We say that a unitary $U \in \mathcal{U}(\mathbb{C}^d)$ is **chaotic** iff $H^{\text{dyn}}(U) = \ln d$.

The following conditions are equivalent:

- ① U is chaotic;
- ② there exists an orthonormal basis $\{\varphi_i\}_{i=1}^d$ of \mathbb{C}^d such that $\{\varphi_i\}_{i=1}^d$ and $\{U\varphi_i\}_{i=1}^d$ are **mutually unbiased**;
- ③ there exists an orthonormal basis $\{\varphi_i\}_{i=1}^d$ of \mathbb{C}^d in which $\sqrt{d}U$ is represented by a **complex Hadamard matrix**, i.e.,

$$|\langle \varphi_i | U | \varphi_j \rangle| = \frac{1}{\sqrt{d}} \quad \text{for each } i, j = 1, \dots, d.$$

Simple necessary condition: U chaotic $\implies |\text{tr } U| \leq \sqrt{d}$.

Qubits

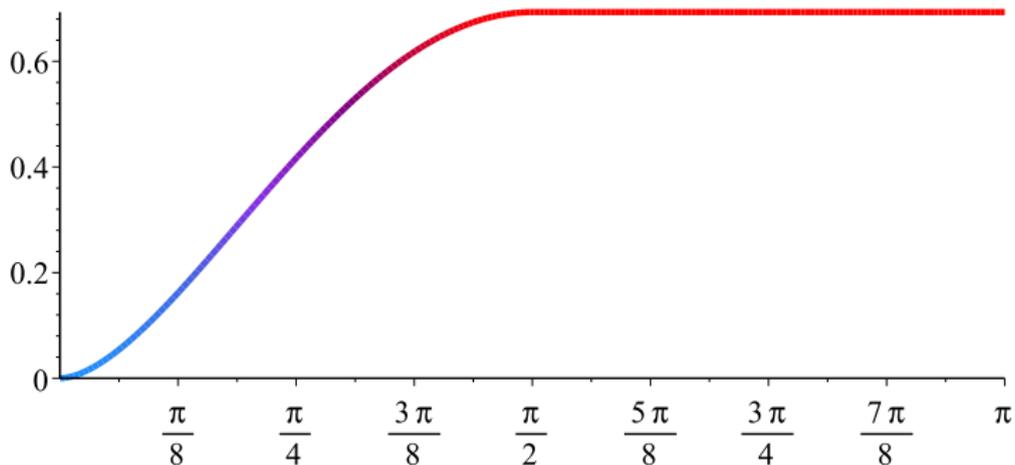
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$$\det U_\theta = 1$$

$$\operatorname{tr} U_\theta = 2 \cos \frac{\theta}{2} \in [-2, 2]$$

$$H^{\text{dyn}}(U_\theta) = \ln 2 \iff |\operatorname{tr} U_\theta| \leq \sqrt{2}$$



Qubits

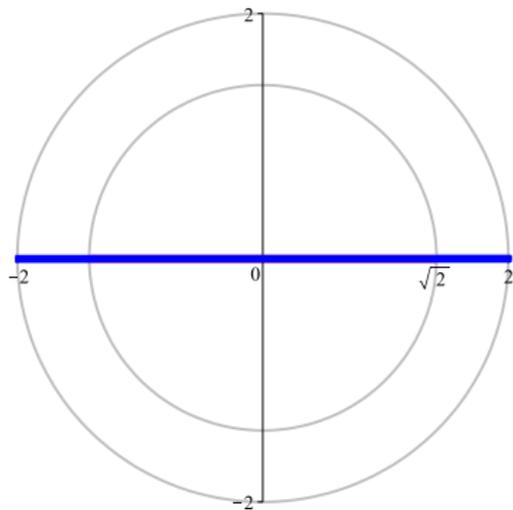
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Traces of:

- special unitaries,
- special chaotic unitaries

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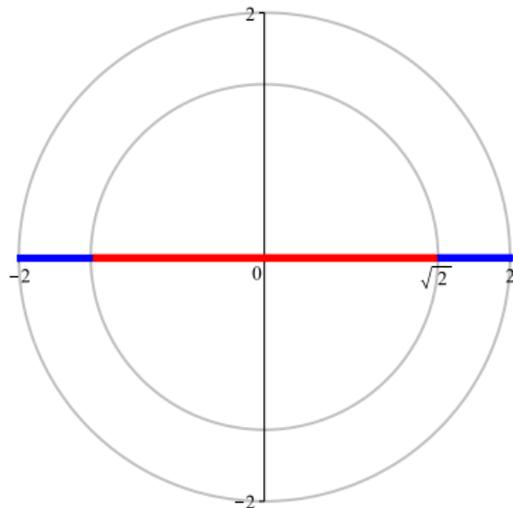
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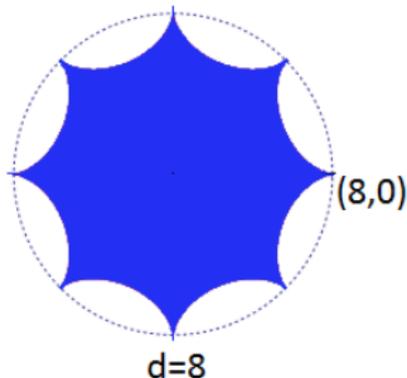
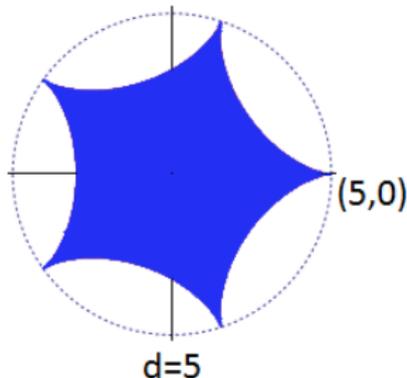
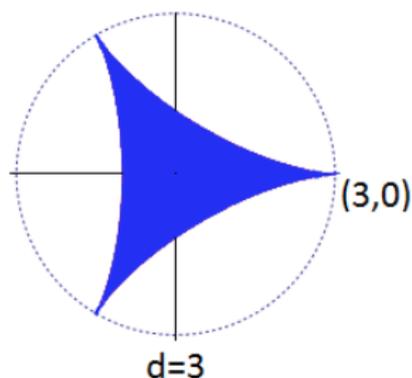
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Traces of special unitaries

Theorem (Charzyński et al. 2005). All possible traces of **special** unitary matrices of size $d \times d$ fill in **the d-hypocycloid** with one cusp at $(d, 0)$.

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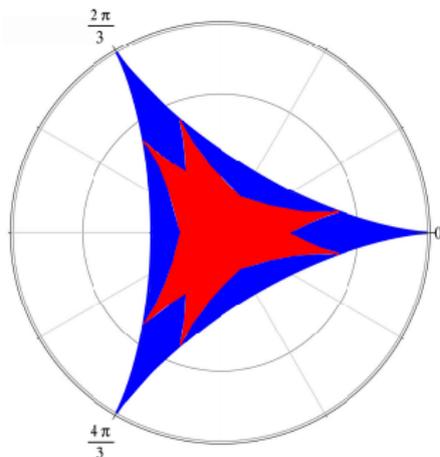
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Qutrits

A special 3×3 unitary U is chaotic \implies

$$\text{tr } U \in \left(\frac{1}{\sqrt{3}} e^{\pi i/18} \text{Hypocycloid}(3) \cup \frac{1}{\sqrt{3}} e^{-\pi i/18} \text{Hypocycloid}(3) \right)$$

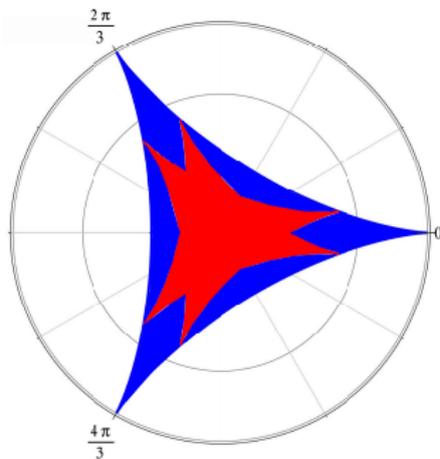


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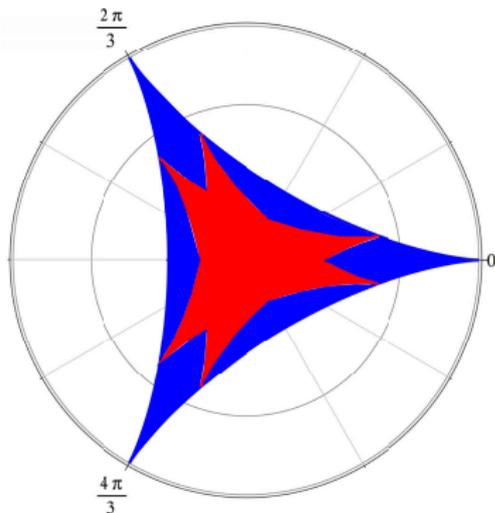
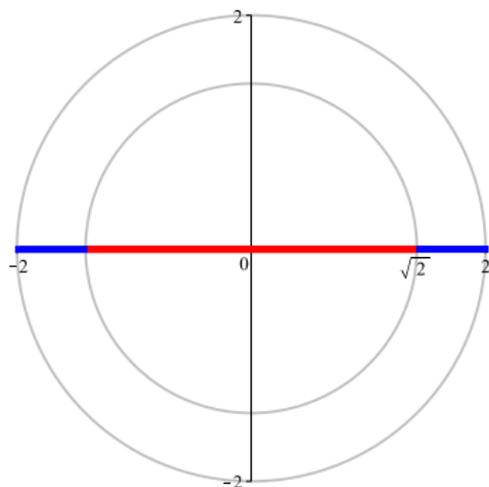
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Necessary trace condition for chaoticity

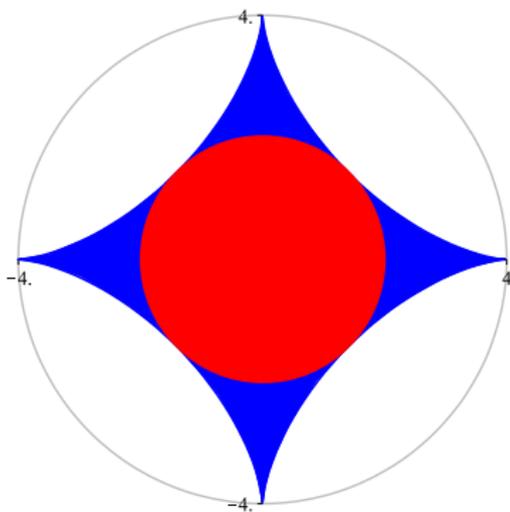
In every dimension d : traces of special chaotic unitaries fill in the union of d -hypocycloids that are **rescaled** (by $1/\sqrt{d}$) and **rotated**. Rotation factors are related to the equivalency classes of **complex Hadamard matrices**.



All possible traces of: **special unitaries**, **special chaotic unitaries**

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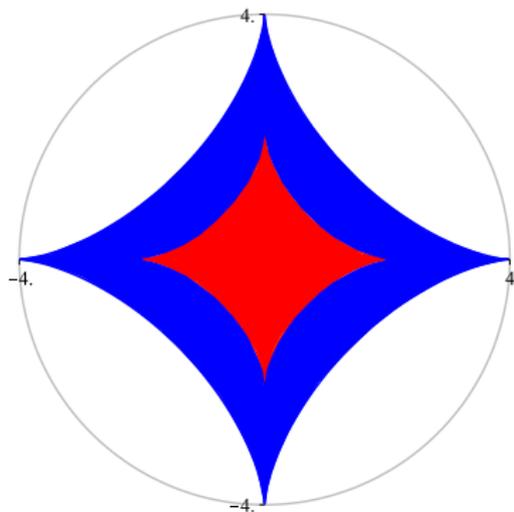
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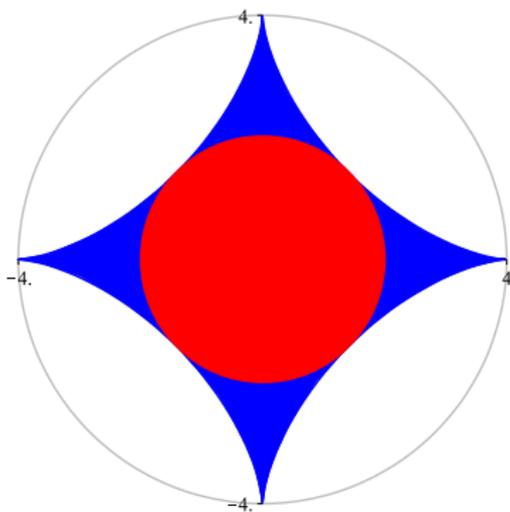
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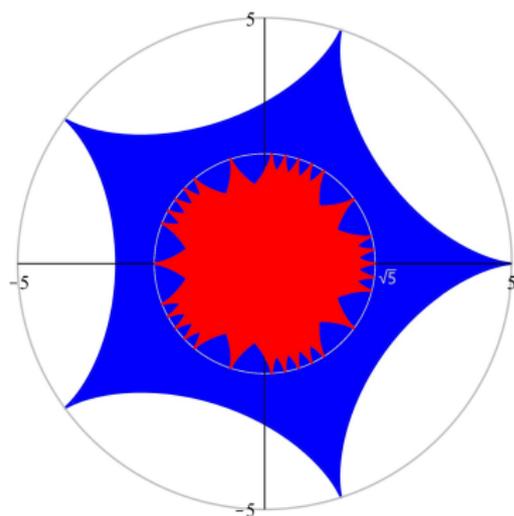
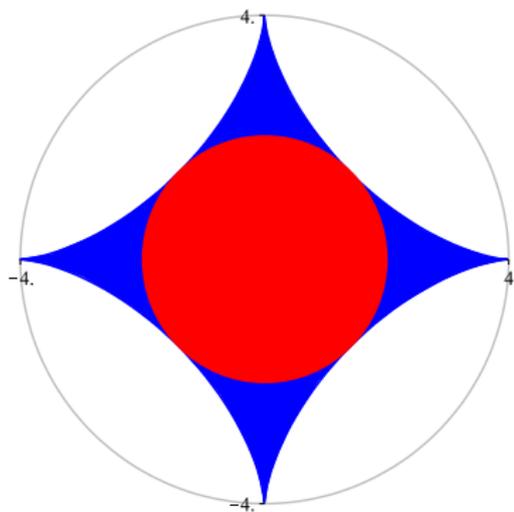
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All possible traces of: **special unitaries**, **special chaotic unitaries**

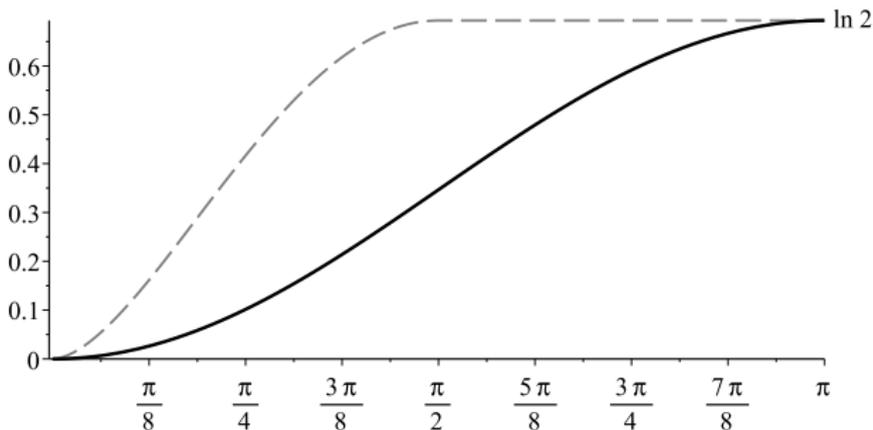
Multiple qubits

$$U_\theta := \text{diag}(e^{i\theta/2}, e^{-i\theta/2}) \text{ for } \theta \in [0, \pi]$$

For every $n \in \mathbb{N}$: $U_\theta^{\otimes n}$ is chaotic $\iff U_\theta$ is chaotic

For every $n \in \mathbb{N}$: $U_\pi \otimes \mathbb{I}_2^{\otimes n-1}$ is chaotic

$$\lim_{n \rightarrow \infty} \frac{1}{n} H^{\text{dyn}}(U_\theta \otimes \mathbb{I}_2^{\otimes n-1}) = \frac{1 - \cos \theta}{2} \ln 2$$



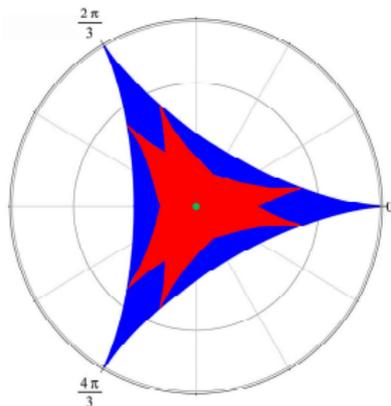
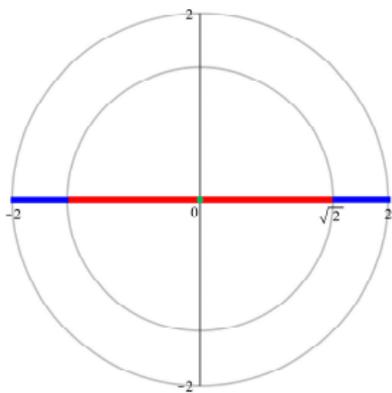
$U \in \mathcal{U}(\mathbb{C}^d)$ is called **stubbornly chaotic** if $U \otimes \mathbb{I}_d^{\otimes n}$ is chaotic for each $n \in \mathbb{N}$.

Necessary condition: U is stubbornly chaotic $\Rightarrow \operatorname{tr} U = 0$.

In dim 2 and 3 this condition is also sufficient.

In dim 2 and 3 there are unique (up to a phase) stubbornly chaotic unitaries:

$$\operatorname{diag}(1, -1) \quad \text{and} \quad \operatorname{diag}(1, e^{2\pi i/3}, e^{4\pi i/3})$$



Traces of special operators: **unitary**, **chaotic**, **stubbornly chaotic**

Quantum dynamical entropy of U with respect to any rank-1 POVM Π :

$$\underbrace{H_{\text{dyn}}(U, \Pi)}_{\text{entropy due to unitary dynamics}} := \underbrace{H(U, \Pi)}_{\text{total entropy}} - \underbrace{H(\mathbb{I}, \Pi)}_{\text{entropy due to measurement}}$$

Quantum dynamical entropy of U (independent of measurement):

$$H_{\text{POVM}}^{\text{dyn}}(U) := \sup \{ H_{\text{dyn}}(U, \Pi) : \Pi \text{ is a rank-1 POVM} \}$$

- ① $-\ln d < H_{\text{dyn}}(U, \Pi) \leq \ln d$
- ② $0 \leq H_{\text{PVM}}^{\text{dyn}}(U) \leq H_{\text{POVM}}^{\text{dyn}}(U) \leq \ln d$;
- ③ $H_{\text{POVM}}^{\text{dyn}}(U) = \ln d$ iff $H_{\text{PVM}}^{\text{dyn}}(U) = \ln d$
- ④ In dim 2 we have $H_{\text{POVM}}^{\text{dyn}}(U) = H_{\text{PVM}}^{\text{dyn}}(U)$ for every U .

- ① W. Słomczyński, *Dynamical Entropy, Markov Operators and Iterated Function Systems*, Wydawnictwo Uniwersytetu Jagiellońskiego, 2003
- ② W. Słomczyński, AS, “Quantum Dynamical Entropy, Chaotic Unitaries and Complex Hadamard Matrices”, *IEEE Trans. Inform. Theory* 63 (2017)
- ③ AS, “Ball & point quantum systems”, soon on arXiv